# FEC-Based File Transfer in Communication Networks

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#### Introduction

- 2 Luby transform FEC
- 3 Luby transform performance
- 4 Luby transform-based file transfer
- 6 Raptor code-based file transfer

#### 6 Conclusions

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To explore the potential for Raptor code-based file transfer protocols in communication networks.

- Review basic ideas of Luby transform FEC
- Show examples of object transfer characteristics
- Discuss scenarios for Luby transform-based file transfer
- Review of Raptor encoding-based file transfer
- Summarize status of standards

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## Luby transform encoding

- Given
  - An object composed of set of k source symbols, say,

 $\mathcal{S} = \{\mathbf{s}_0, \mathbf{s}_1, \cdots, \mathbf{s}_{k-1}\}$ 

- A degree distribution,  $F_{\tilde{d}}(d)$  with support  $\mathcal{D} = \{1, 2, \cdots, k\}$
- For i = 1, 2, ..., form the *i*th encoding symbol as follows:
  - Select  $d_i$  independently from the degree distribution,  $F_{\tilde{d}}(d)$
  - Select d<sub>i</sub> source symbols, say S<sub>i</sub>, at random and independently, from S
  - Form  $E_i$  as the XOR of the elements of  $S_i$ .
- Send each encoded symbol together with its composition to the destination
- Either
  - Send a given number of symbols, L, and try to decode, or
  - Keep forming and sending symbols until all of the source symbols are decoded

## Luby transform decoding

• The set of source symbols can be written as a vector:

$$S = [s_0, s_1, \cdots, s_{k-1}].$$

- Each encoding symbol is a binary linear combination of the source symbols,  $E_i = b_i S$ , where  $b_i$  is known by the receiver.
- The set of received symbols forms a set of linear equations

$$\begin{bmatrix} E_{j_1} \\ E_{j_2} \\ \vdots \\ E_{j_n} \end{bmatrix} = \begin{bmatrix} b_{j_1} \\ b_{j_2} \\ \vdots \\ b_{j_n} \end{bmatrix} S = BS$$

- the  $E_{j_{\ell}}$  and  $b_{j_{\ell}}$  are known.
- Any set of k linearly independent rows of B defines S

## Luby transform decoding (continued)

- Encoding symbols are generated randomly implies a random number of encoding symbols are needed to obtain *k* linearly independent equations.
- Received symbols containing errors are discarded
- Probabilistically, and any set of *n* received encoding symbols is as good as any other
- Decoding alternatives
  - Peeling
  - Gaussian elimination
- Peeling requires more symbols and less computing

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## Luby transform decoding (concluded)

- Sketch of decoding through on-the-fly peeling
  - 1 Collect received encoding symbols until a symbol of degree 1 is received
  - 2 Recover the source symbol  $S_{r_1}$  from  $E_{r_1}$ .
  - 3 Process recovered symbol
    - A For each received encoding symbols containing recovered symbol, replace received encoding symbols with XOR of symbol with recovered symbol
    - B Mark Sr1 processed
    - C Search reduced set for symbol of degree 1
    - D If found, go to 3
  - 4 Until done,
    - A Read in additional symbol
    - B Remove processed symbols from received symbol
    - C If resulting symbol, has degree 1, recover and go to 3

## Luby transform performance example 1

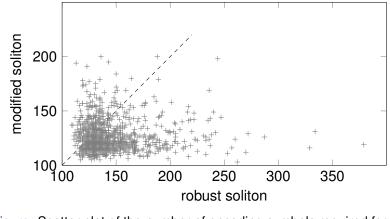
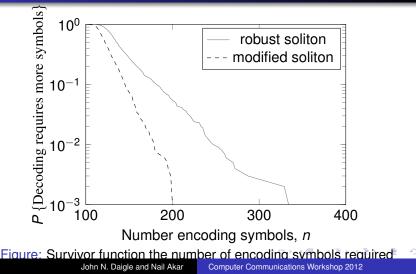


Figure: Scatter plot of the number of encoding symbols required for decoding required for two degree distributions

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### Luby transform performance example 2



#### Luby transform performance example 3

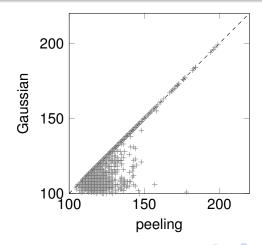


Figure: Seatter plot of the number of encoding symbols required

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## Summary of numerical results

- Number encoding symbols needed for decoding depends on degree distribution
  - Developing good degree distributions is very worthwhile
- Number of encoding symbols needed for decoding can be large
- Gaussian elimination requires fewer symbols, but still can require a larger number
- Not shown: overhead decreases with the number of input symbols

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## Luby transform-based file transfer

- The file is partitioned into k segments
- The segments are used to construct source symbols
- The source symbols are processed to form the encoding symbols
- The encoding symbols are encapsulated and sent to destination
- Decoding is done in the standard way of Luby transform decoding

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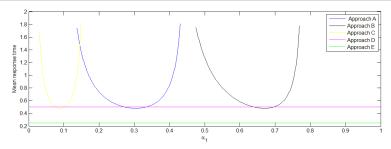
## One-to-many file transfer paradigm

- Source organizes the file transfer as though transmitting to a single destination
- Each destination collects encoding symbols and decodes independently
- Packet losses to each of the destinations may be different
- Routers can drop packets without adversely affecting decoding
- New destinations can be added to the destination list at any time during file transfer
- Multicast can be used to deliver packets
- Each destination can independently report reception of file
- Transmitting server can just stop transmitting when all destination have received the file

## Many-to-one file transfer paradigm

- Source file may be available at multiple servers
- Each source prepares the file for transfer in the identical way
- A server controller receives the file request and delivers requests to a selected subset of the servers
- Each server generates encoding symbols independently drawing degrees from the same distribution
- Each destination collects encoding symbols and decodes independently
- Each receiver independently reports completion of file transfer to the server controller
- Many-to-many can be implemented with each server sending to multiple possibly different destingations

## Load balancing potential in many-to-one



A Jobs are randomly directed to server i with probability  $\alpha_i$ 

- B  $\alpha_1$  proportion of shorter jobs directed to server 1
- C  $\alpha_1$  proportion of longer jobs directed to server 1
- D Jobs are assigned to each server with probability proportional to  $r_i$ .
- E Jobs are assigned to both servers using Luby encoding

- Two phase process
  - Erasure coding of input symbols to form intermediate symbols
  - Luby transform-based transfer of the intermediate symbols
- Intermediate symbols are the same size as input symbols
- Number of intermediate symbols is  $n \ge k$
- Reception of any *k* of the *n* intermediate symbols may be sufficient to recover the *k* input symbols
  - Depends on the specifics of the erasure code
- Basically changes the problem from decoding the *k* specific input symbol to decoding any *k* out of *n* intermediate symbols.

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## Why erasure coding works

- A codeword in a linear code is linear combination of the basis vectors for a vector subspace
  - *c* = *mG*
  - *m* is a *k*-vector
  - *G* is a matrix of *k n*-vectors that span *k*-dimensional subspace of vectors of length *n*
  - c is a codeword
- If the columns of G are chosen such that any k columns are linearly independent (for example, Reed-Solomon codes), then if any k components of c are known, they can be used to solve for m and consequently c.
- In the general case, slightly more than k values may be needed for decoding.

## Example Raptor coding in the standards

- RFC 5052, "Forward Error Correction (FEC) Building Block," August 2007.
  - Describes the framework for developing an RFC for an FEC-based content delivery protocol
  - Sets aside 256 FEC Encoding IDs, 128 each for fully-specified and under-specified
  - Obsoletes RFC 3452, December 2002.
- RFC 5053, "Raptor Forward Error Correction Scheme for Object Delivery," September 2007.
  - Describes of protocol for Raptor-based file transfer.
- RFC 6330, "RaptorQ Forward Error Correction Scheme for Object Delivery," August 2011.
  - Describes RaptorQ-based file transfer.
  - Extends the idea of Raptor coding to terabyte range files sizes

# Conclusions

- Raptor codes provide a solid theoretical basis for file transfer in communication networks
- Conceptually, reliable broadcast, multicast, any cast are straightforward using Raptor codes
- RFCs are available for implementing content delivery protocols based on Raptor and RaptorQ codes
- Lots of activity in standards arena
- No manuscripts providing detailed comparisons to other content delivery protocols found
- Reports of experience using Raptor codes for content delivery were not found in searches of the net

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