# CSc 453 - Compilers and Systems Software 

13 : Intermediate Code I<br>Christian Collberg<br>Department of Computer Science<br>University of Arizona<br>collberg@gmail.com

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Introduction

## 2 Compiler Phases



## 3 Intermediate Representations

- Some compilers use the AST as the only intermediate representation. Optimizations (code improvements) are performed directly on the AST, and machine code is generated directly from the AST.
- The AST is OK for machine-independent optimizations, such as inlining (replacing a procedure call with the called procedure's code).
- The AST is a bit too high-level for machine code generation and machine-dependent optimizations.


## 4 Intermediate Representations. . .

- For this reason, some compilers generate a lower level (simpler, closer to machine code) representation from the AST. This representation is used during code generation and code optimization.


## 5 Intermediate Code

Advantages of: $\qquad$

1. Fitting many front-ends to many back-ends,
2. Different development teams for front- and back-end,
3. Debugging is simplified,
4. Portable optimization.
$\qquad$
5. Architecture independent,
6. Language independent,
7. Easy to generate,
8. Easy to optimize,
9. Easy to produce machine code from.

## 6 Mix-and-Match Compilers



## 7 UNCOL

- A representation which is both architecture and language independent is known as an UNCOL, a Universal Compiler Oriented Language.
- UNCOL is the holy grail of compiler design - many have search for it, but no-one has found it. Problems:

1. Programming language semantics differ from one language to another,
2. Machine architectures differ.

## 8 Intermediate Code...

- There are several different types of intermediate representations:

1. Tree-Based.
2. Graph-Based.
3. Tuple-Based.
4. Linear representations.

- All representations contain the same information. Some are easier to generate, some are easy to generate simple machine code from, some are easy to generate good code from.
- IR - Intermediate Representation.


## 9

## Postfix Notation

## 10 Postfix Notation



Infix: b := $(a * 2)+(a * 2)$
Postfix: b a $2 *$ a $2 *+:=$

- Postfix notation is a parenthesis free notation for arithmetic expression. It is essentially a linearized representation of an abstract syntax tree.
- In postfix notation an operator appears after its operands.
- Very simple to generate, very compact, easy to generate straight-forward machine code from, difficult to generate good machine code from.


## 11

## Tree \& DAG Representations

## 12 Tree \& DAG Representation

- Trees make good intermediate representations. We can represent the program as a sequence of expression trees. Each assignment, procedure call, or jump becomes one individual tree in the forest.
- Common Subexpression Elimination (CSE): Even if the same (sub-) expression appears more than once in a procedure, we should only compute its value once, and save the result for future reference.
- One way of doing this is to build a graph representation, rather than a tree. In the following slides we see how the expression $a * 2$ gets two subtrees in the tree representation and one subtree in the DAG representation.


## 13 Tree \& DAG Representation. . .

|  | Linearized Tree |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  | Nr | Op | $\mathrm{ARG}_{1}$ | $\mathrm{ARG}_{2}$ |
| $\mathrm{b}:=(a * 2)+(a * 2)$ | 1 | ident | a |  |
| assign | 2 | int | 2 |  |
| $\checkmark$ | 3 | mul | 1 | 2 |
|  | 4 | ident | a |  |
|  | 5 | int | 2 |  |
|  | 6 | mul | 4 | 5 |
| a 2 a 2 | 7 | add | 3 | 6 |
|  | 8 | ident | b |  |
|  | 9 | assign | 8 | 7 |

## 14 Tree \& DAG Representation. . .

$$
\mathrm{b}:=(a * 2)+(a * 2)
$$

| Linearized Dag |  |  |  |
| :--- | :--- | :--- | :--- |
| NR | Op | ArG $_{1}$ | ARG $_{2}$ |
| 1 | ident | a |  |
| 2 | int | 2 |  |
| 3 | mul | 1 | 2 |
| 4 | add | 3 | 3 |
| 5 | ident | b |  |
| 6 | assign | 5 | 4 |

## 15 Sequence of Expression Trees

```
X := 20;
WHILE X < 10 DO
    X := X-1;
    A[X] := 10;
    IF X = 4 THEN
        X := X - 2;
        ENDIF;
ENDDO;
Y := X + 5;
```



## 16 Building DAGs...

- Repeatedly add subtrees to build DAG. Only add subtrees not already in DAG. Store subtrees in a hash table. This is the value-number algorithm.

For every insertion

- of a subtree, check if $(X$ OP $Y) \in$ DAG.

PROCEDURE InsertNode (
OP : Operator; $L, R$ : Node) : Node;
BEGIN
$V:=$ hashfunc (OP, $L, R$ );
$N$ := HashTab.Lookup ( $V, \mathrm{OP}, L, R$ );
IF $N=$ NullNode THEN $N$ := NewNode (OP, $L, R$ ); HashTab.Insert ( $V, N$ );
END;
RETURN $N$;
END InsertNode;

## 17 Building DAGs - Example



## 18 Building DAGs

- From an expression/expression tree such as the one on the left we might generate the machine code (for some fictitious architecture) on the right:
$a *(b+c)$


| LOAD | $\mathrm{b}, \mathrm{r0}$ |
| :--- | :--- |
| LOAD | $\mathrm{c}, \mathrm{r} 1$ |
| ADD | $\mathrm{r} 0, r 1, r 2$ |
| LOAD | $\mathrm{a}, \mathrm{r} 3$ |
| MUL | $\mathrm{r} 2, r 3, r 4$ |

- Can we generate better code from a DAG than a tree?


## 19 Building DAGs...

$$
[(a+b) * c+\{(a+b)+e\} *(e+f)] *[(a+b) * c]
$$



## 20 Building DAGs...

$$
[(a+b) * c+\{(a+b)+e\} *(e+f)] *[(a+b) * c]
$$



## 21 Building DAGs...

- Generating machine code from the tree yields 21 instructions.



## 22 Building DAGs. . .

- Generating machine code from the DAG yields only 12 instructions.



## Tuple Codes

## 24 Three-Address Code

- Another common representation is three-address code. It is akin to assembly code, but uses an infinite number of temporaries (registers) to store the results of operations.
- There are three common realizations of three-address code: quadruples, triples and indirect triples.
$\qquad$ Types of 3-Addr Statements: $\qquad$
$\mathbf{x}:=\mathbf{y}$ op z Binary arithmetic or logical operation. Example: Mul, And.
$\mathrm{x}:=$ op y Unary arithmetic, conversion, or logical operation. Example: Abs, UnaryMinus, Float.
$\mathbf{x}:=\mathbf{y}$ Copy statement.


## 25 Three-Address Code...

goto $\mathbf{L}$ Unconditional jump.
if $\mathbf{x}$ relop y goto $\mathbf{L}$ Conditional jump. relop is one of $\langle\rangle,,\langle=$, etc. If x relop y evaluates to True, then jump to label L. Otherwise continue with the next tuple.
param X ; call P, $n$ Make X the next parameter; make a procedure call to P with $n$ parameters.
$\mathbf{x}:=\mathbf{y}[\mathbf{i}]$ Indexed assignment. Set x to the value in the location i memory units beyond y .

## 26 Three-Address Code. . .

$\mathbf{x}:=\operatorname{ADDR}(\mathbf{y})$ Address assignment. Set x to the address of y .
$\mathbf{x}:=\mathbf{I N D}(\mathbf{y})$ Indirect assignment. Set x to the value stored at the address in y .
IND(x) $:=\mathrm{y}$ Indirect assignment. Set the memory location pointed to by x to the value held by y .

## 27 Three-Address Code...

- Many three-address statements (particularly those for binary arithmetic) consist of one operator and three addresses (identifiers or temporaries):

\[

\]

## 28 Three-Address Code. . .

- There are several ways of implementing three-address statements. They differ in the amount of space they require, how closely tied they are to the symbol table, and how easily they can be manipulated.
- During optimization we may want to move the three-address statements around.


## 29 Three-Address Code - Quadruples

- Quadruples can be implemented as an array of records with four fields. One field is the operator.
- The remaining three fields can be pointers to the symbol table nodes for the identifiers. In this case, literals and temporaries must be inserted into the symbol table.

| $\mathrm{b}:=(a * 2)+(a * 2)$ |  |  |  |  |
| :---: | :--- | :--- | :--- | :--- |
| NR | RES | Op | ARG $_{1}$ |  |
| $(1)$ | $\mathrm{t}_{1}$ | mul | a | 2 |
| $(2)$ | $\mathrm{t}_{2}$ | mul | a | 2 |
| $(3)$ | $\mathrm{t}_{3}$ | add | $\mathrm{t}_{1}$ | $\mathrm{t}_{2}$ |
| $(4)$ | b | assign | $\mathrm{t}_{3}$ |  |

## 30 Three-Address Code - Triples

- Triples are similar to quadruples, but save some space.
- Instead of each three-address statement having an explicit result field, we let the statement itself represent the result.
- We don't have to insert temporaries into the symbol table.

|  | $\mathrm{b}:=(a * 2)+(a * 2)$ |  |  |
| :---: | :--- | :--- | :--- |
| NR | Op | ARG $_{1}$ | $\mathrm{ARG}_{2}$ |
| $(1)$ | mul | a | 2 |
| $(2)$ | mul | a | 2 |
| $(3)$ | add | $(1)$ | $(2)$ |
| $(4)$ | assign | b | $(3)$ |

## 31 Three-Address Code - Indirect Triples

- One problem with triples ("The Trouble With Triples?" ${ }^{a}$ ) is that they cannot be moved around. We may want to do this during optimization. We can fix this by adding a level of indirection, an array of pointers to the "real" triples.

| $\mathrm{b}:=(a * 2)+(a * 2)$ | Abs | Real | NR | Op | $\mathrm{ARG}_{1}$ | $\mathrm{ARG}_{2}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | (1) | (10) | (11) | mul | a | 2 |
|  | (2) | (11) | (12) | mul | a | 2 |
|  | (3) | (12) | (13) | add | (11) | (12) |
|  | (4) | (13) | (14) | := | b | (13) |

[^0]
## Summary

## 33 Readings and References

- Read Louden:

Intermediate Code 398-407

- Or, read the Dragon book:

Postfix notation 33
DAGs \& Value Number Alg. 290-293
Intermediate Languages 463-468, 470-473

## 34 Summary

- We use an intermediate representation of the program in order to isolate the back-end from the frontend.
- A high-level intermediate form makes the compiler retargetable (easily changed to generate code for another machine). It also makes code-generation difficult.
- A low-level intermediate form make code-generation easy, but our compiler becomes more closely tied to a particular architecture.
- A basic block is a straight-line piece of code, with no jumps in or out except at the beginning and end.


## 35

## Homework

## 36 Homework I

- Translate the program below into quadruples, triples, and a 'sequence of expression trees.'

```
PROGRAM P;
VAR X : INTEGER; VAR Y : REAL;
BEGIN
    X := 1; Y := 5.5;
    WHILE X < 10 DO
        Y := Y + FLOAT(X);
        X := X + 1;
        IF Y > 10 THEN Y := Y * 2.2; ENDIF;
    ENDDO;
END.
```


## 37 Homework II

Consider the following expression:

$$
((x * 4)+y) *(y+(4 * x))+(z *(4 * x))
$$

1. Show how the value-number algorithm builds a DAG from the expression (remember that + and $*$ are commutative).
2. Show the resulting DAG when stored in an array.
3. Translate the expression to postfix form.
4. Translate the expression to indirect triple form.

## 38 Homework III

- Translate the program below into quadruples, triples, and a 'sequence of expression trees.'

```
PROGRAM P;
VAR X : INTEGER; VAR Y : REAL;
BEGIN
    X := 1; Y := 5.5;
    WHILE X < 10 DO
        Y := Y + FLOAT(X);
        X := X + 1;
        IF Y > 10 THEN Y := Y * 2.2; ENDIF;
    ENDDO;
END.
```

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## Tree Code Example

## 40 Tree Code

record ProcBegin (Name, Level, VarSize,FormalSize,LineNo) The beginning of each procedure is marked by a ProcBegin instruction.

Attributes: Name is the name of the procedure. Level is the declaration level of the procedure. VarSize is the amount of local data (in bytes) required for the procedure. FormalSize is the size of the actual parameters passed to the procedure.
Children: None.
record ProcEnd (Name, Level, VarSize,FormalSize, LineNo) Same attributes as ProcBegin

## 41 Tree Code. . .

record VarDecl (Name,Type, Level, Offset, Size, LineNo) Each global variable is given an explicit declaration in the intermediate code.

Attributes: Type is one of the strings "INT", "CHAR", "STRING", "REAL", or "STRUCT". Offset gives the relative address of the variable
Children: None.

## record Store (Type, Des, Expr,LineNo) Store instructions are generated from assignment statements.

Attributes: Type is the type.
Children: Des is the subtree which computes the address (L-value) at which the new value should be stored. Expr is a subtree computing the R-value.

## 42 Tree Code. . .

record VarRef (Type, Name, Level, LineNo) VarRef nodes are used to refer to the address of a global or local variable.

Attributes: Level is the static nesting level at which the variable was declared.
Children: None.
record Literal (Type, Value, LineNo) Literal nodes represent integer, real, character, or string constants.

Attributes: Type is as for VarDecl, but there are no "STRUCT" constants.
Children: None.

## 43 Tree Code. . .

record BinExpr (Op,Type,Left,Right, LineNo) BinExpr instructions represent binary arithmetic.
Attributes: Type is either "INT" or "REAL". Op is one of "+", "-", "*", "/", or "\%".
Children: Left and Right are subtrees holding the left and right hand sides of the arithmetic operator, respectively.
record Load (Type, Des,LineNo) Load instructions represent the loading of an R -value from an L -value.
Attributes: Type is the type of the loaded value.
Children: Des is the subtree which computes the address (L-value) whose R -value should be loaded.

## 44 Tree Code. . .

record Branch (Op,Type, Left, Right, Label, LineNo) Conditional branch, equivalent to 'IF Left Op Right THEN GOTO Label'.

Attributes: Type is "INT", "CHAR", or "REAL". Op is one of "=", "<", ">", "\#", "<=", ">=". Label is the number of the label to which we should jump.
Children: Left and Right are expression subtrees computing the arguments to the comparison operator.
record Goto (Label, LineNo) Unconditional branch.
Attributes: Label is the label number to which we should jump.
record Label (Number, LineNo) A program location to which a Branch or Goto may jump.
Attributes: Number is the label number.

## 45 Tree Code. . .

record ProcCall (Name, Actuals, Level, LineNo) Procedure call.
Attributes: Name is the name of the procedure. Level is the level at which the procedure is declared.
Children: Actuals is the list of actual parameters.
record Field (BaseAddress, Name, Offset, LineNo)
Compute the address of a field within a record vari-
able, i.e. BaseAddress + Offset.
Attributes: Offset is the offset of the field within the record variable.
Children: BaseAddress is a subtree computing the address of the record variable.

## 46 Tree Code. . .

record Index (BaseAddress, IndexExpr, ElementSize,ElementCount,LineNo) Compute the address of an array element, i.e. BaseAddress + IndexExpr * ElementSize. If $0>$ IndexExpr $\geq$ ElementCount then a run-time error message should be generated.

Attributes: ElementSize is the size of the array elements. ElementCount is the length of the array.
Children: BaseAddress and IndexExpr are subtrees computing the address of the beginning of the array the number of the indexed array element.

## 47 Tree Code. . .

record Actual (Type, Number, Offset, Expr, LineNo) Pass the value of Expr as argument to a procedure call.

Attributes: If Type="STRUCT" then the formal is passed by reference, otherwise by value. Number is the argument number, Offset is the relative address within the activation record.
Children: Expr is the subtree computing the value (or address) of the actual.
record FormalRef (Type,Name, Level, Offset, Number, LineNo) Reference to the value of a formal parameter. Similar to VarRef.

Attributes: Level is the static nesting level at which the formal is declared. If Type="STRUCT" then the formal is passed by reference, otherwise by value.
Children: None.

## 48 Example I (a)



## 49 Example I (b)



## 50 Example I (c)



## 51 Example I (d)

(1) [VarDecl]: Name='X':Level=0:Type=INT:Offset=0:Size=4
(2) [VarDecl]: Name='Y':Level=0:Type=STRUCT:Offset=8:Size=600
(3) [ProcBegin]: Name='_main':Level=-1:VarSize=608:FormalSize=0
(4) [Label]: Number=4
(5) [Branch]: Op='<':Type=INT:Label=8

Left=
[Load]: Type=INT
Des=
[VarRef]: Name='X':Type=INT:Level=0:Offset=0
Right=
[Literal]: Type=INT:Value='10'
(6) [Goto]: Label=7
(7) [Label]: Number=8

## 52 Example I (e)

(8) [Store]: Type=INT

Des=
[VarRef]: Name='X':Type=INT:Level=0:Offset=0
Expr=
[BinExpr]: Op='+':Type=INT
Left= [SEE NEXT SLIDE]
Right=
[Literal]: Type=INT:Value='66'
(9) [Goto]: Label=4
(10 [Label]: Number=7
(11 [ProcEnd]: Name='_main':Level=-1:VarSize=608:FormalSize=0

## 53 Example I (e)

```
Expr=
    [BinExpr]: Op='+':Type=INT
    Left=
        [Load]: Type=INT
            Des=
                            [Field]: Name='b':Offset=2
                            BaseAddress=
                            [Index]: ElementSize='6':ElementCount=100
                            BaseAddress=
                            [VarRef]: Name='Y':Type=STRUCT:
                                    Level=0:Offset=8
                    IndexExpr=
                            [Literal]: Type=INT:Value='55'
```


[^0]:    ${ }^{a}$ This is a joke. It refers to the famous Star Trek episode "The Trouble With Tribbles." OK, so it's not funny.

