

CSc 553

Principles of Compilation

12 : Garbage Collection — The Train Algorithm

Department of Computer Science
University of Arizona

collberg@gmail.com

Copyright © 2011 Christian Collberg

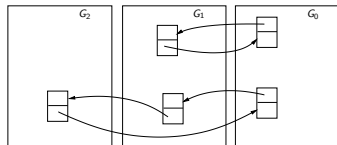
- The generation algorithm
 - ① works well for immature objects
 - ② works less well for mature objects — every time the generation they're in is garbage collected, they get moved!
- The train algorithm was designed to handle mature objects well.
- Unlike the generational algorithm, the train algorithm never needs to collect the entire heap.

Train Algorithm — Basic Idea

- Split memory into small blocks.
- Perform a copy-collection-type garbage collection separately for each block.
- We get shorter pauses since the size of the blocks is small.
- Since we're only collecting a part of the entire heap at a time, we need to use *remembered sets* (just like for generational collection) to handle references between blocks.

Combining Algorithms

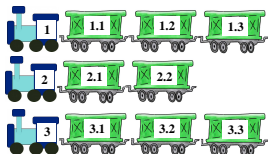
- Combine the train and generational algorithms:
 - ① Use the generational algorithm for immature objects;
 - ② When an object has survived a few collections, move it to a different heap managed by the train algorithm,



- In the generational algorithm we must occasionally collect the entire heap, or we may miss cyclic garbage.

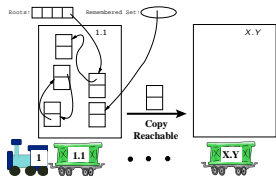
- Fixed sized portions called **cars** — the size of one (or more) disk blocks.
- Cars are organized into **trains**.
- No limit on the number of trains.
- No limit on the number of cars per train.

Train Organization

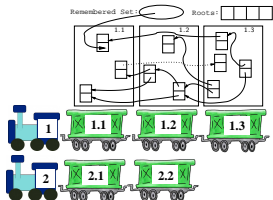


- Trains are numbered 1, 2, 3, ...
- Cars are numbered $\langle \text{train} - \text{number} \rangle . \langle \text{car} - \text{number} \rangle$.
- Trains and cars are ordered lexicographically, i.e. 1.1, 1.2, 1.3, ..., 2.1, 2.2, 2.3, ..., 3.1, 3.2, 3.3, ...

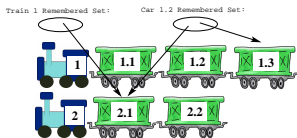
Train Algorithm — Case 1



- Car 1.1 is collected. Unreachable objects, including cycles contained within the car, are identified.
- Reachable objects are moved to some other car.
- The car becomes empty and is removed from the train.



- No root pointers point to train 1.
- The remembered set for train 1 only has pointers from cars of the same train.
- All cycles are contained in train 1 \Rightarrow Delete train 1!

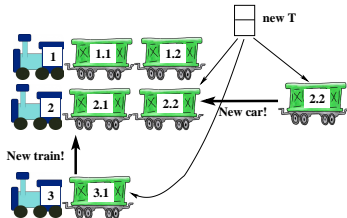


- Each car's remembered set contains pointers to objects in higher numbered cars in the same train and higher-numbered trains.
- Each train's remembered sets contains pointers to objects in higher-numbered trains.

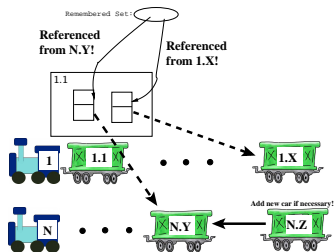
Allocating Objects and Managing Trains

- The goal is to move out of train one everything that's not cyclic garbage.
- When a train is just cyclic garbage, we throw it away.
- Create a new train every k object creations.
- On $o \leftarrow \text{new } T$ we could add o to
 - 1 the last car of the last train, if there's room, or
 - 2 a new last car of last train, or
 - 3 the first car of a new last train.

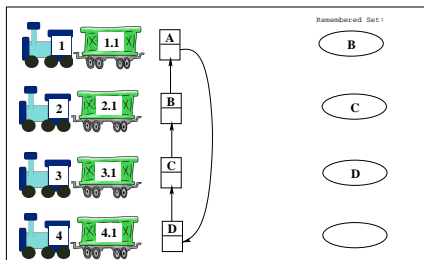
Allocating Objects and Managing Trains. . .



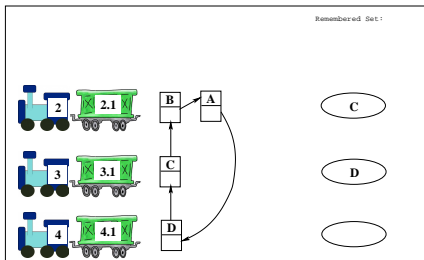
- 1 Consider Car 1.1's remembered set and the roots.
- 2 Scan objects within the car.
- 3 Move reachable object o to another car c :
 - If the remembered set says o is referenced from some other (higher-numbered) train, move o to some car c in that train. Pick a car that references o (good for locality). If there's no room, add a new car.
 - If no other train references o , move c to another car within the same train. Prefer a car that references o — this will move cyclic structures to the same car. If there's no room, move c to a new last car.
- 4 Remove car 1.1.



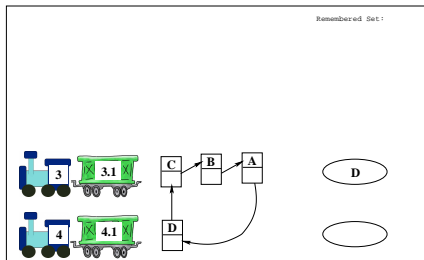
- Eventually, all the cars in Train 1 will have been removed \Rightarrow remove Train 1.
- Therefore, eventually, every train becomes the first train, and its cars get garbage collected.



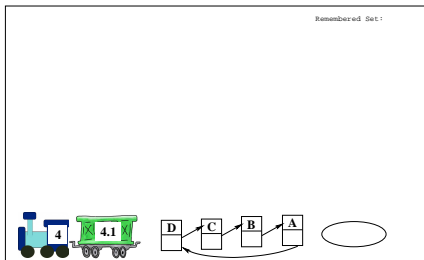
Example 1 — Step 2



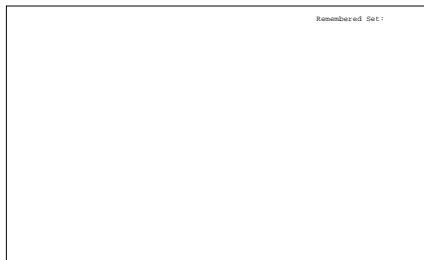
Example 1 — Step 3



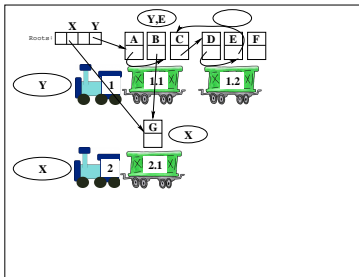
Example 1 — Step 4



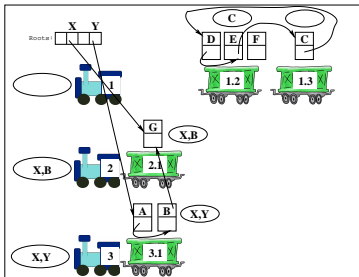
Example 1 — Step 5



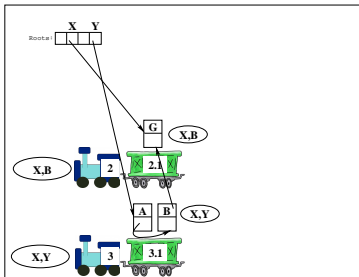
Example 2 — Step 1



Example 2 — Step 2



Example 2 — Step 3



Really Large Objects

- Since cars are fixed size (maybe the size of a memory page) there may be really large blocks that don't fit.
- Use a special heap for such large objects.

- Read Aho, Lam, Sethi, Ullman, Section 7.7.5
- Incremental Garbage Collection: The Train Algorithm, Thomas Würthinger:

<http://www.ssw.uni-linz.ac.at/General/Staff/TW/wuerthinger05Train.pdf>